

Introduction

We are IASG Alternatives, LLC, ("IASGA") a FINRA-registered broker-dealer. Brokerage services and fees differ, and it is important for you to understand the differences.

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing. Our firm and financial professionals' registration information are also publicly available on the [Investor.gov](https://www.investor.gov) website.

Relationships & Services

Q: What investment services and advice can you provide me?

A: We offer alternative investment brokerage services to accredited and institutional investors. Products we offer include private placement securities and limited partnerships that go through our due diligence process and are often found through our industry network which includes the futures industry but also contacts across the investment space. Our services are consultative in nature and do not involve us taking discretion to purchase or sell private placement products. In some cases, we may offer referral services to firms in cases where customers request a service we do not offer or simply because they choose a direct relationship.

Q: Given my financial situation, should I choose an investment advisory service? Why or why not?

A: IASGA does not provide investment advisory services to individuals. Any investment we offer to individuals is considered risky, illiquid, and available only to accredited or qualified investors. Private placements of securities, in addition to being risky and illiquid, lack transparency, lack a public market, and have complex tax structures. In addition to a careful review of the offering documents, you are advised to consult with your financial, legal, and tax advisors prior to investment.

Q: How will you choose investments to recommend to me?

A: Investments are chosen based on our ability to discover programs with unique profiles through our industry network as well as our affiliated firm Institutional Advisory Services Group Inc. These programs go through our vetting process to determine if they meet our customer's targeted investment profiles and pass our due diligence requirements.

Q: What is your relevant experience, including your licenses, education, and other qualifications?

A: Our financial professionals have been in the financial services industry for several years and maintain the Series 3, 7, 82, and 63 qualification exams. Certain supervisory professionals also maintain applicable FINRA supervisory licenses such as the Series 24, 27, or 28 exam qualification. You can find information on any professional designations of your financial professional by accessing [FINRA.org](https://www.finra.org) and utilizing the BrokerCheck functionality.

Q: What do these qualifications mean?

A: These qualifications assure that our professionals have met specific regulatory exam requirements required to conduct investment banking and private placement activities (e.g., Series 7).

Fees, Costs, Conflicts & Standard of Conduct

Q: What fees will I pay?

A: Our fees for private placements are disclosed in the offering documents provided to each prospective investor with a subscription form. Subscriptions in private placements offered by IASGA require investors to be accredited, which generally means an annual income of \$200,000 individually or a joint income of \$300,000 or a liquid net worth of \$1,000,000. Our fees for private placements are expressed as a percentage of the capital raised, and as it relates to an individual investor, a percentage of the total amount you are willing to invest. A description of the fees is provided in the offering documents provided before any subscriptions are accepted.

Q: Help me understand how these fees and costs might affect my investments. If I give you \$100,000 to invest, how much will go to fees and costs, and how much will be invested for me?

A: As an example, if you subscribe to a private placement and the total initial fees are 3% including our fee, then assuming an initial subscription of \$100,000, there will be \$97,000 available for use by the offeror. Please bear in mind as an investor there will be other ongoing fees assessed against your investment, such as legal costs, accounting, tax, and other fees as disclosed in the offering documents. Generally, these ongoing fees are annual fees and draw down the balance in your capital account, having the net effect of reducing your rate of return on the investment.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying by carefully reading the offering memorandum and related subscription documents.

Q: What are your legal obligations to me when acting as my broker-dealer? How else does your firm make money and what conflicts of interest do you have?

A: When we act as the placement agent, we vet the programs to ensure that the manager's qualifications, history, and operations set up can support the trading strategy with a reasonable basis to continue their functions on a consistent basis going forward. We are typically rebated a portion of manager's fees. We therefore have a financial incentive to offer those investments with the highest rebate.

Q: How might your conflicts of interest affect me, and how will you address them?

A: Conflicts of interest can incentivize us to put our interests ahead of yours. We manage these conflicts through disclosures in the offering and subscription documents. In addition, we employ supervisory procedures to ensure our financial professionals are acting in your best interest.

Q: How do your financial professionals make money?

A: Our financial professionals are paid a percentage of the capital we raise for the company engaging us. In this regard we have a conflict of interest in that we are economically incented to sell interests in the private placement, which could be viewed as contrary to your interests.

Disciplinary History

Q: Do you or your financial professionals have legal or disciplinary history?

A: No. You can visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Additional Information

Q: Who is my primary contact person?

A: Your IASGA associated person will be your primary point of contact. However, administrative requests may be handled by an administrative assistant or client service professional.

Q: Is he or she a representative of an investment adviser or a broker-dealer?

A: Yes, our investment professionals that solicit, structure, and oversee transactions are registered with IASGA, a FINRA member broker-dealer. None of our professionals are licensed as investment adviser representatives, as we do not conduct a retail investment advisory business.

Q: Who can I talk to if I have concerns about how this person is treating me?

A: In the event you have issues to be addressed, you may contact Mr. JonPaul Jonkheer at 312-561-3149 or by email to jonpaul@iasgalternatives.com.

You can find additional information about our investment services at Investor.gov/CRS. You may also request a printed copy of this Relationship Summary by contacting us at 312-561-3149 or via email to JonPaul Jonkheer at jonpaul@iasgalternatives.com.